

1. Introduction, Scope and Applicability

Ion Exchange (India) Ltd. herein referred as IEI, being a leader in water and waste water industry plays a proactive role in society and contributes to the development of sustainable solutions addressing the current widespread water challenges in society. In order to make the environment safer and cleaner, IEI provides latest technology in water & waste water treatment process to all its customers & stakeholders. It promotes cutting edge technology to solve the water related issues in a holistic manner and enhances sustainability aspect of stakeholders. The company actively advocates for the adoption of sustainable processes and technologies for comprehensive water and environmental management across industries, homes, and both urban and rural communities. It also promotes the 'Make in India' initiative, enhancing product and service quality, and rationalizing taxes and duties through internal and external processes. These activities are conducted through advocacy and active participation in trade forums. Further IEI undertakes this activity upholding its values of honesty, integrity and transparency.

1.1 Roles and Responsibilities

IEI personnel, all third parties engaged in lobbying activities on behalf of IEI must be informed about and agree to compliance with its Responsible Lobbying Guideline, IEI Code of conduct and Supplier Code of Conduct.

2. Guidelines

2.1 Principles and rules for responsible lobbying

- Committed to provide reliable and up to date information in its domain to public authorities through its website, corporate communication & frequent interactions.
- Personnel responsible for this activity clearly identify themselves as representative of IEI maintains transparency regarding these objectives and interests.
- Retain a list of countries having a score of less than 20 on CPI(Corruption Perceptions Index). Enquiries, business opportunities will be carefully scanned and authorized for initiating/doing business in these countries.
- Personnel authorized to lobby will inform their line manager to adhere to the guidelines.
- Respect the Code of conduct, guidelines and applicable standards.

2.2 Training

Employees authorized to engage in lobbying activities undergo regular training on understanding of the:

- Guidelines to Responsible Lobbying, IEI Code of conduct.
- Anti Bribery and Corruption policy.
- Applicable Standards and laws in country of operations.

2.3 Implementation

- In geographical locations / countries that lack transparency with regard to lobbying activities or pose high corruption risks, seek consul from Legal and Compliance before commencing any activities.
- Seek Legal and CEO clearance for dealing business with government / quasi-government/ rebel organization in the countries having CPI (Corruption Perceptions Index) score less than equal to 20 as per M/s Transparency International (refer Annexure). However, when dealing with MNCs, Private entities of repute, the International Business head will do proper due diligence before initiating business activities.
- Ensure that engagements with public authorities are adequately documented wherever possible through agenda.
- Activity details under this guideline will be documented.
- Employees and personnel that have queries or require clarity beyond this guideline will reach out to respective Business Head for guidance.

2.4 Breach of this guideline

Any breaches of its guidelines and code of conduct may lead to disciplinary and other appropriate actions.

3 Abbreviations

Advocacy	Action of direct or indirect communication with Public Authorities to put forward positions with the aim of influencing policy making
Anti Bribery and Corruption	ABC
Employee	All personnel direct or indirect whom IEI reasonably be expected to exert control in the way the person completes his or her work.
Lobbying	Lobbying describes direct or indirect interactions with public authorities and stakeholders with intent to influence specific policies, programs, standards.
Public authorities	Any officer, employee or person acting on behalf of : (a) a Government (b) a Public International Organization. The term also includes political party officials and candidates for political office.
Public Organization	An organization whose members are countries, government or other International Organization.
Public positions	IEI views on public policies display to external audiences.

CEO

Annexure

CPI (Corruption Perceptions Index) 2023 for 180 nos. countries conducted by M/s Transparency International.
Countries having CPI score less than equal to 20 are mentioned below :

SL	Country	CPI Score (2023)
1	Afghanistan	20
2	Burundi	20
3	Chad	20
4	Comoros	20
5	Democratic Republic of the Congo	20
6	Myanmar	20
7	Sudan	20
8	Tajikistan	20
9	Libya	18
10	Turkmenistan	18
11	Equatorial Guinea	17
12	Haiti	17
13	Nicaragua	17
14	North Korea	17
15	Yemen	16
16	Venezuela	13
17	South Sudan	13
18	Syria	13
19	Somalia	11